

Using Students' University Results to Benchmark Secondary Schools.

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Outline

- Context and motivation
- Objectives
- Review of previous studies
- Data
- UP students characterisation (descriptive analysis)
- Empirical Results:
 - 1. Determinants of students' success (regression analysis)
 - 2. Benchmarking of secondary schools based on attainment in higher education (DEA)
 - 3. Overall picture of schools performance considering multiple perspectives
- Conclusions

Results

Context

- Higher Education in Portugal (recent trends)
 - Percentage of the population with tertiary education (aged 25-34) [OECD data]



year

- Average age for finishing secondary education: 18-19 years old
- Total number of vacancies in public Higher Education in Portugal: 50852 (86% filled) [Cabral and Pechincha, 2018]
- Total number of vacancies in University of Porto: 3976 (99.8% filled)

Context

- Higher Education vs Secondary education (contextual setting)
 - University entrance in Portugal is dominated by secondary school grades (entrance criteria is a weighted average between national exams (40 to 50% weight) and internal school grades);
 - This criteria assumes that secondary school grades are a good predictor of university success.
 - This topic of school performance is widely studied in <u>School Benchmarking and Value</u> <u>Added literature</u>, where schools' success is measured through:
 - Average grades in National Exams;
 - % of students approved;
 - Distribution of students per levels of achievement, etc.
 - However, the actual achievements or success levels of students in higher education are seldom considered with the purpose of studying the performance of secondary schools.

Objective

- To analyse the determinants of success of first year students at University of Porto.
 - Success in Higher Education is a function of:
 - Student characteristics (abilities);
 - HE Degree characteristics, Faculty and Culture of the institution attended;
 - Features of the secondary school attended (type of ownership, average grades in national exams, socio-economic background and abilities of cohorts that attend the school)
- Benchmark secondary schools on their ability to prepare students for university success.
- Cross compare the performance of secondary schools in three dimensions related to the <u>core objectives</u> of secondary education:
 - To prepare students in a way that promotes success in their future professional careers (higher education) and personal lives.
 - > To make students achieve high grades in national exams (given their abilities);
 - > To place as many students as possible in the higher education degree of their choice;

Previous studies

Relationship between students' university grades and high school grades •

- Poor correlation between secondary school scores and university scores [Sear (1983) – in a UK study involving 1979 graduates].
- Entry grade is the variable that least influences the score at university and the type of school attended (private/public) the one that influences the most.

[Cabral and Pechincha (2014) – 7069 students of University of Porto, using OLS regression with gender, type of school attended (private/public) and order of preference of the degree attended in the application to higher education as the other independent variables]

Average grades in two Business School degrees are highly explained by secondary school attainment

[Kaighobadi and Allen (2008) – US]

- Students' high school grade is a good predictor of their university grade [Cyrene and Chan (2010) – 5136 students of the University of Winnipeg, Canada].
- High school leaving grade is the best predictor if the student will graduate. The impact on his/her final grades is lower.

[Danilowicz-Gösele et al (2017) – 12.000 students of Göttingen University, Germany]

Results

Previous studies

• <u>Relationship between type of high school and university grades</u>

 The type of school attended prior to entrance in the university may have a significant impact on the university performance.

[Smith and Naylor (2005) – UK students].

Students coming from private schools Score better

[Cyrene and Chan (2012) – 5136 students of the University of Winnipeg, Canada - private school sstudents increase 0,10 points the Grade Point Average (GPA) scores – but effects diminish over time]; [Smith and Naylor, (2005)- all data on all university students in the UK. On average, students who attended an Independent school are about 6% less likely to be awarded a 'good' degree compared to a student who attended State school, ceteris paribus]; [Mora and Escardibul, (2008) - sample of students for the University of Barcelona, observed over 1996-2003 (22364 students in 14 faculties].

- Public school students have better performance than their peers.

[Cabral and Pechincha (2014) – 7069 students of the University of Porto]; [Lasselle et al., (2014) - 1320 students at University of St Andrews in Scotland. School environment if important: the predicted probabilities of a good degree are slightly larger for those students from a less favourable school context. At "below average schools, those students obtaining three A grades are likely to be among the most well-motivated and brightest" (p.310)].

• <u>Relationship between University grades and other factors</u>

- <u>High school achievement is important in determining dropout</u>, and students from more advantaged social classes are less likely to dropout [Smith and Naylor (2001) – Full population of university students in the UK].
- Financial constraints have a positive impact in dropout rates in university students [Cardak and Vecci (2016) – Australia]

Context	Objectives	Literature	Data	UP students	Results	Conclusions

Data

• Data from students of the University of Porto (UP)

Objectives

Literature

 We have data for 8609 students from 14 faculties, corresponding to the cohorts that attended the first year of a first cycle degree or integrated master degree at UP in the academic years 2013/14, 2014/15 and 2015/16.

Faculty	N ^o students	% students UP	% Female	% from Private Schools	% Zero ECTS
FADEUP	296	3%	32%	35%	8%
FAUP	272	3%	67%	24%	9%
FBAUP	283	3%	77%	14%	8%
FCNAUP	137	2%	93%	35%	15%
FCUP	1315	15%	48%	21%	17%
FDUP	391	5%	74%	23%	8%
FEP	746	9%	55%	33%	6%
FEUP	1894	22%	30%	32%	8%
FFUP	408	5%	82%	32%	16%
FLUP	1297	15%	67%	15%	14%
FMDUP	134	2%	69%	50%	10%
FMUP	534	6%	60%	46%	3%
FPCEUP	336	4%	88%	20%	7%
ICBAS	566	7%	69%	46%	6%
Total	8609	100%	56%	28%	10%
					8

Data

Results

Conclusion

Data

• Indicators related to students' <u>on entry</u> to higher education:

Entry Score (ES)

Classification (scale 0-20) in the national application to the UP degree (national competition for access to higher education, under the responsibility of DGES).

Normalised entry score (NES)

Standardized variable (Standardized Normal distribution N(0,1)) representing the students' classification on entry in relation to the cohort of students that entered the same degree in the same academic year.

$$NES = \frac{ES - \overline{ES}}{s_{ES}}$$

Context	Objectives	Literature	Data	UP students	Results	Conclusion

Data

• Indicators of students' <u>at the end</u> of first year:

(the impact of secondary school education is higher in the first year of higher education attendance)

- ECTS: Number of European Credit Transfer and Accumulation System (ECTS) credits completed at the end of first year.
- First year score (FYS): Average classification (scale 0-20) obtained by the student in the courses that he/she was approved in the first year of the UP degree.
- Normalised First year score (NFYS): standardized variable (Standardized Normal distribution N(0,1))
 representing the students' average classification in relation to the cohort of students that attended the
 same degree in the same academic year.

$$NFYS = \frac{FYS - \overline{FYS}}{s_{FYS}}$$

 Adjusted First year score (AFYS): Students performance taking into account the number of ECTS completed and the classifications obtained in the courses approved. This variables has the same value as the Average 1st year score if the student completes 60 ECTS, and a lower value if the number of ECTS completed is lower than expected. The variable is computed as follows:

$$AFYS = FYS \times \frac{ECTS}{60}$$

Normalised Adjusted First year score (NAFYS): standardized variable (Standardized Normal distribution N(0,1)) representing the students' average classification adjusted by the number of ECTS completed in relation to the cohort of students that attended the same degree in the same academic year.

$$NAFYS = \frac{AFYS - \overline{AFYS}}{s_{AFYS}}$$

- Success: binary variable indicating whether a student has done 30 or more ECTS (Success=1) or completed less than 30 ETCS (Success=0).
- ECTS_zero: binary variable indicating if a student completed ECTS (ECTS_zero=1 means no ECTS completed)

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UP students' characterisation

• Average Entry Score by Faculty:



Results

UP students' characterisation

• Average Entry Score by Degree (e.g., within the Faculty of Engineering):



	Context	Objectives	Contribution	Data	UP students	Results	Conclusion
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UP students' characterisation

Analysis of the influence of School Type (private/public) and Entry Score on Higher Education achievements

					Average Adjusted	% students that completed 30 or
	% students	% female students	Average ECTS completed (ECTS)	Average first year score (FYS)	first year score (AFYS)	more ECTS (Success)
Privado	29%	54%	41	13,2	10,4	74%
Público	71%	57%	45	13,6	11,4	79%



Context	Objectives	Literature	Data	UP students	Results	Conclusion

Methodology

1. Determinants of student success (overall UP and UCP analysis)

Final **sample with around 9000 students** from 2 universities (UP and UCP, with 14 and 7 faculties, respectively), taken from an original sample of **10338** students

All analyzes **excluded** students who did not complete any ECTS (ECTS_zero = 1)

- We run three OLS regressions, with <u>Normalised</u> Adjusted 1st year score (NA1YS), ECTS completed, <u>Normalised</u> First year score as dependent variable and the following independent variables:
 - <u>Normalised</u> entry score (NES)
 - Gender (female=1; male=0)
 - Type of school (private=1; public=0)
 - School average grade on national exams (average 2014, 2015 and 2016)
- This provides an **overall picture** of the determinants of success of UP students
 - Using <u>normalized</u> scores <u>on entry</u> and <u>on exit</u> (1st year) is equivalent to a fixed effects model with dummys for the degrees.

Results

1. Determinants of student success

(overall analysis with around 9000 students, excluding those that did not complete any ECTS)

Data

• Normalised Adjusted 1st year score (NAFYS) as dependent variable

R_square	P_value	Significant variables
0.143	0.000	NES(+), private(-), female (+), school Avg (-)

- Entry score alone explains 10.0% of variability of NAFYS

• Normalised 1st year score (NFYS) as dependent variable

R_square	P_value	Significant variables
0.184	0.000	NES (+), private(-), female (+), school Avg (-)

- Entry score alone explains 15.8% of variability of Normalised first year scores

• ECTS completed (ECTS) as dependent variable

Objective

R_square	P_value	Significant variables
0.067	0.000	NES (+), private(-) , female (+)

- Entry score alone explains **3,7%** of variability of ECTS completed

Literature

- Dependent variables(3 modelling alternatives)
 - Normalized adjusted First Year Score
 - Normalized First Year Score
 - ECTS completed
- Independent variables:
 - Normalized Entry Score
 - Gender

UP students

• Type of school

Results

• School average grade on national exams

Conclusion

Methodology

- 1. Determinants of student success (per degree)
 - We run three OLS regression models for <u>each degree</u>, with the Adjusted First Year Score (A1YS), ECTS completed and First year score as the indicator of students achievement (dependent variable) and the following independent variables.
 - Entry score
 - Gender (female=1; male=0)
 - Type of school (private=1; public=0)
 - School average grade on national exams

Illustrative Results

1. Determinants of student success (per degree)

	Dependent			
UP Degree	Variable	R square	P value	Significant variables
Industrial Engineering	AFYS	0.161	0.000	ES (+)
Faculty of Engineering	FYS	0.250	0.000	ES (+), private(-)
(159 students)	ECTS	0.061	0.058	ES (+)
Economics (FEP_LEc)	AFYS	0.158	0.000	ES (+), private(-)
Faculty of Economics UP	FYS	0.205	0.000	ES (+)
(499 students)	ECTS	0.081	0.000	ES (+), private(-)
BioChemestry	AFYS	0.115	0.001	ES (+)
Faculty of sciences UP	FYS	0.213	0.000	<pre>ES (+), private(-) , female (+)</pre>
	ECTS	0.079	0.012	School Avg (-)

Engineering Degree

Economics Degree

Biochemestry Degree



Context	Objective	Literature	Data	UP students	Results	Conclusion

Discussion of results

1. Determinants of student success

- The <u>entry scores</u> and <u>type of school</u> (private/public) are the variables that explain most of the variability of students results at the end of the first year in higher education (UP and UCP).
 - Higher entry scores and prior attendance of public schools have a <u>positive effect</u> both on the number of ECTS completed and on the average results at the end of the first year.
 - The influence of these variables is higher for the average results at the end of the first year
 (18.4% of the variability explained by secondary education features, on average) than for the number of ECTS completed (6.7% of variability explained by secondary education features, on average).
- For the degrees that gender has a significant effect on results in higher education, it has a positive effect.
 - This is interpreted as an advantage for girls.
- For the degrees that School Average Grade on National Exams has a significant effect on results in higher education, it has a negative effect
 - This is interpreted as an advantage for schools facing more difficult socio-economic contexts, where
 not all students are able to obtain good grades in national exams and enter higher education.

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• Previous schooling is not likely to affect equally all degrees.

- 2. Benchmarking of secondary schools based on attainment in higher education
 - We analyzed Secondary Schools that placed at least 45 students in UP and UCP
 - The final sample includes 64 schools (23% of these schools are private), comprising 6341 students (70% of the students in overall sample).
 - Students that did not complete any ECTS were excluded from the sample.
 - Benchmarking model:
 - Composite Indicator (CI) model, specified with a Directional Distance Function.
 - To deal with negative data, the directional vector represents the potential for improvement for each output indicator (difference between the maximum value observed for the indicator in the sample and the value observed in the school under evaluation for that indicator).

Inputs	Outputs
Dummy (=1)	Normalised First Year Score ECTS completed % students at the Top (NFYS > 1.28 and ECTS ≥ 48)

Context	Objective	Literature	Data	UP students	Results	Conclusion

- 2. Benchmarking of secondary schools based on attainment in higher education
 - Benchmarking model:
 - Composite Indicator (CI) model, specified as a Range Directional Model.

$$\max \qquad \beta_0 \\ \text{s.t.} \qquad \sum_{j=1}^n \lambda_j \le 1 \\ \sum_{j=1}^n \lambda_j y_{rj} \ge y_{r0} + \beta_0 R_{r0}, \ R_{r0} = \max_j \{y_{rj}\} - y_{r0} \\ \lambda_j \ge 0 \ \forall j$$

Context	Objective	Literature	Data	UP students	Results	Conclusion

	observed values				
DIVIUS	y1	y2			
U1	-3	6			
U2	4	3			
U3	-4	2			
U4	5	2			
U5	2	3			
U6	4	2			
U7	-1	3,33			
U8	1	2			
U9	4	1			



Ideal reference = $(\max_{j=1:9} output_j)$, $\max_{j=1:9} output_j)$ = (5,6)

U1

eff
$$y_i = \begin{cases} \frac{y_i}{y_i^*}, & \text{if } y_i > 0\\ \frac{|y_i|}{2|y_i| + y_i^*}, & \text{if } y_i < 0 \end{cases}$$



	observe	observed values		targets efficiency measurement					
DIVIUS	y1	y2	bela	y1 [*]	y2 [*]	eff y1	eff y2	eff average (y1,y2)	DEA standard
U1	-3	6	0	-3	6	1	1	1	-
U2	4	3	0	4	3	1	1	1	1
U3	-4	2	0,56	1,07	4,25	0,44	0,47	0,46	-
U4	5	2	0	5	2	1	1	1	1
U5	2	3	0,2	2,6	3,6	0,77	0,83	0,80	0,82
U6	4	2	0,2	4,2	2,8	0 <i>,</i> 95	0,71	0,83	0,86
U7	-1	3,33	0,35	1,08	4,25	0,33	0,78	0,55	-
U8	1	2	0,4	2,6	3,6	0,38	0,56	0,47	0,52
U9	4	1	0,33	4,33	2,67	0,92	0,37	0,65	0,80 2

2. Benchmarking of secondary schools based on attainment in higher education

Best Performing Schools (TOP 10)

					number of students	Average		Average
rank eff	eff average	beta	School Name	Туре	in UP and UCP	ECTS	% Тор	NFYS
1	1	0	Escola Secundária Dr. Mário Sacramento	Public	48	53	20,8%	0,49
1	1	0	Escola Básica e Secundária Oliveira Júnior	Public	58	54	19,0%	0,42
3	0,91	0,47	Escola Secundária Ferreira de Castro	Public	45	54	17,8%	0,35
4	0,89	0,58	Escola Secundária São Pedro	Public	54	54	16,7%	0,34
5	0,87	0,44	Escola Secundária de Lousada	Public	64	53	18,8%	0,30
6	0,84	0,81	Escola Secundária Dr. Manuel Gomes de Almeida	Public	113	49	17,7%	0,34
7	0,82	0,77	Escola Secundária D. Afonso Henriques	Public	49	48	20,4%	0,27
8	0,78	0,75	Escola Secundária Carolina Michaellis	Public	51	52	13,7%	0,30
9	0,76	0,84	Escola Secundária de Santa Maria da Feira	Public	108	49	13,9%	0,30
10	0,75	0,79	Escola Secundária Tomaz Pelayo	Public	47	52	14,9%	0,23

Other Performing Schools (BOTTOM 10)

					number of students	Average		Average
rank eff	eff average	beta	School Name	Туре	in UP and UCP	ECTS	% Тор	NFYS
55	0,43	0,93	Escola Secundária Joaquim Gomes Ferreira Alves	Public	96	47	5,2%	-0,09
56	0,41	0,91	Colégio de Gaia	Private	46	48	6,5%	0,00
57	0,40	0,95	Externato Ribadouro	Private	911	42	2,3%	-0,28
58	0,39	0,95	Colégio D. Diogo de Sousa	Private	50	41	2,0%	-0 <i>,</i> 36
59	0,38	0,92	Colégio Novo da Maia	Private	47	47	2,1%	-0,09
60	0,38	0,95	Colégio da Trofa	Private	86	41	1,2%	-0,32
61	0,37	0,95	Externato Académico	Private	46	39	2,2%	-0,30
62	0,37	0,93	Colégio D. Dinis	Private	133	46	3,0%	-0 <i>,</i> 06
63	0,37	0,94	Externato Camões	Private	56	43	1,8%	-0,16
64	0,35	0,96	Externato D. Duarte	Private	66	36	1,5%	-0,33 23

Context	Objective	Literature	Data	UP students	Results	Conclusion

Discussion of Results

- Distinctive features between TOP and BOTTOM Schools in our ranking:
 - TOP schools dominate BOTTOM schools in the four output dimensions characterising performance in higher education:
 - Students from TOP schools, complete, on average, more than 50 ECTS. Students from BOTTOM schools complete, on average, less than 50 ECTS.
 - On average, 17% of students from TOP Schools are TOP students in higher education. For BOTTOM schools, on average only 3% are TOP students.
 - Students from TOP schools obtain classifications above the average of their Higher Education degrees. Students from BOTTOM schools obtain classifications below the average of their degrees.
 - All TOP schools are public and 90% of BOTTOM schools are private.

Context	Objective	Literature	Data	UP students	Results	Conclusion

Methodology

- 3. Overall picture of Secondary Schools Performance
- Indicators considered for the cross comparison of performance of secondary schools in the three dimensions related to the core objectives of secondary education.
 - To prepare students in a way that promotes success in their future professional careers (higher education) and personal lives
 - ✓ CI Score based on attainment in higher education (CI score)
 - > To make students achieve high grades in national exams (given their abilities);
 - Portuguese secondary schools ranking constructed by the newspaper "Público" (Rank "Publico"), based on the average classification of students' in the 8 exams of secondary education with the largest number of students enrolled.
 - > To place as many students as possible in the higher education degree of their choice;
 - Proportion of students from the school whose average grade in the national exams is greater or equal to 160 (out of 200 maximum classification in the exam).

Discussion of Results

• The secondary schools ranking based on the <u>average classification of students' in the 8 exams of secondary</u> <u>education</u> with the largest number of students enrolled (*Rank Public*) is **very different** from the ranking based on <u>higher education results</u>.

					number of students	Average		Average	rank	exams
rank eff	eff average	beta	School Name	Туре	in UP and UCP	ECTS	% Тор	NFYS	"Público"	2013/2014/2015
1	1	0	Escola Secundária Dr. Mário Sacramento	Public	48	52,60	20,8%	0,49	13	10,8
2	1	0	Escola Básica e Secundária Oliveira Júnior	Public	58	53,96	19,0%	0,42	22	10,4
3	0,91	0,47	Escola Secundária Ferreira de Castro	Public	45	53,60	17,8%	0,35	26	10,1
4	0,89	0,58	Escola Secundária São Pedro	Public	54	53,66	16,7%	0,34	27	10,0
5	0,87	0,44	Escola Secundária de Lousada	Public	64	53,06	18,8%	0,30	44	9,4
6	0,84	0,81	Escola Secundária Dr. Manuel Gomes de Almeida	Public	113	48,93	17,7%	0,34	15	10,6
7	0,82	0,77	Escola Secundária D. Afonso Henriques	Public	49	48,41	20,4%	0,27	48	9,2
8	0,78	0,75	Escola Secundária Carolina Michaellis	Public	51	52,20	13,7%	0,30	58	8,7
9	0,76	0,84	Escola Secundária de Santa Maria da Feira	Public	108	49,01	13,9%	0,30	39	9,6
10	0,75	0,79	Escola Secundária Tomaz Pelayo	Public	47	51,82	14,9%	0,23	24	10,2
43	0,48	0,91	Colégio Nossa Senhora do Rosário	Private	197	48,09	8,6%	0,04	1	14,3
14	0,66	0,80	Colégio Luso-Francês	Private	100	51,23	8,0%	0,28	4	13,0
46	0,46	0,92	Colégio Paulo VI	Private	135	46,56	8,9%	0,02	5	13,0
21	0,63	0,87	Escola Básica e Secundária Clara de Resende	Public	110	48,43	10,0%	0,22	9	11,3
28	0,59	0,86	Escola Secundária Eça de Queirós - Póvoa de Varzim	Public	142	50,85	9,2%	0,15	10	11,0
55	0,43	0,93	Escola Secundária Joaquim Gomes Ferreira Alves	Public	96	47,04	5,2%	-0,09	23	10,3
56	0,41	0,91	Colégio de Gaia	Private	46	48,34	6,5%	0,00	62	8,4
57	0,40	0,95	Externato Ribadouro	Private	911	42,47	2,3%	-0,28	3	13,2
58	0,39	0,95	Colégio D. Diogo de Sousa	Private	50	41,42	2,0%	-0,36	2	13,6
59	0,38	0,92	Colégio Novo da Maia	Private	47	47,45	2,1%	-0,09	6	12,6
60	0,38	0,95	Colégio da Trofa	Private	86	41,37	1,2%	-0,32	7	11,7
61	0,37	0,95	Externato Académico	Private	46	38,99	2,2%	-0,30	64	6,7
62	0,37	0,93	Colégio D. Dinis	Private	133	46,11	3,0%	-0,06	63	7,5
63	0,37	0,94	Externato Camões	Private	56	43,47	1,8%	-0,16	8	11,7
64	0,35	0,96	Externato D. Duarte	Private	66	36,36	1,5%	-0,33	57	8,8

Discussion of Results

- Cross comparison of the three indicators of secondary schools performance: •
 - X-axis: average grade of school students in national exams (end of secondary education)
 - Y-axis: Composite indicator rank reflecting performance in higher education (the higher the better)
 - Size of bubble: % of students with average classification greater or equal to 160



Conclusions

- In Portugal, students' success in higher education is significantly influenced by Entry Grades.
 - This is the most influential factor directly linked with **secondary education**, with a strong influence on the grade obtained at the end of the first year in HE.
- Other factors also have a significant influence on success in higher education.
 - Good performance in higher education is positively associated with high entry grades, female gender, and attendance of a public school in secondary education with cohorts with low average grades in national exams (disadvantaged socio-economic environment at the secondary school).
- Rankings of schools based only on the results in national exams do not reflect the way schools prepare students for **university success**.
 - Rankings of school performance should also consider students success in further educational stages (Higher Education).
 - <u>This dimension of performance should be publicly disclosed</u>, to allow social acknowledgment of other educational achievements pursued by schools beyond the preparation for national exams.
 - Although not all secondary school students enter university, this is a very important dimension of education: preparation for success in future challenges.



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